On the Dependent Character of PI Licensing

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- Intervention caused by *and*, *every*, *always*, *because*-clauses (facts known at least since Linebarger 1981).
- (1) a. I doubt that every housemate of Sue has potatoes.
 - b. *I doubt that every housemate of Sue has any potatoes.
 - c. *Doubt ... every ... NPI.
- (2) a. I didn't drink a cocktail and a soda.
 - b. *I did**n't** drink a cocktail **and any** soda.
 - c. *Not ... and ... NPI.



- Intervention by *some*.
- (3) (*Context:* Some objects are nowhere to be found...)
 - a. I'm **not** sure that **anyone** stole **anything**.
 - b. I'm **not** sure that **someone** stole **something**. \checkmark NEG>SOME
 - c. I'm not sure that anyone stole something. \checkmark NEG>SOME
 - d. I'm not sure that someone stole anything. *NEG>SOME



- There is no consensus about the exact role of DE (and AA) expressions: what is it really that licenses NPIs?
- Operators or environments?

Operator-based approach

Ladusaw 1979, Progovac 1993, von Fintel 1999, Szabolcsi 2004, Guerzoni 2006, Gajewski 2009 a.o.

- An NPI needs a DE operator;
- Once licensed, an NPI can no longer be anti-licensed (or so it seems): there can be an arbitrary number of DE expressions above an NPI:
- (4) It is **not** the case that John did**n't** understand **anything**.
 - This is suggestive of a structural dependency between an operator and the NPI.
 - **Prediction:** an even number of DE expressions cannot lead to anti-licensing.

Licensing by operators

(5) An NPI π^- is licensed in sentence *S* only if π^- is in the **scope of an operator** α such that $[\alpha]$ is DE (AA).



- Claim: an NPI must be in the immediate scope of its 'licenser';
- No clear connection between monotonicity and the presence of interveners.

Environment-based approach

- (8) An NPI π^- is licensed in a sentence *S* only if π^- is in a **constituent** A of *S* such that A is DE with respect to the position of π^- . Chierchia 2004, Gajewski 2005
- (9) A constituent A is DE (non-DE) w.r.t. the position of α ($[\![\alpha]\!] \in D_{\sigma}$) iff the function λx . $[\![A[\alpha/v_{\sigma}]]]^{g[v_{\sigma} \to x]}$ is DE (non-DE resp.). Gajewski 2005
- (10) It is **not** the case that John did**n't** understand **anything**.
 - The licensers are constituents, whose logical properties are what matters to the acceptability of PIs;
 - The contribution to meaning of **all** the parts of the constituents that a PI finds itself in is taken into account;
 - **Prediction:** an even number of DE expressions can lead to anti-licensing;
 - Interveners ruin the monotonicity of environments.

PPIs

- A PPI of the *some*-type cannot be in the scope of a *clausemate* **anti-additive** operator, i.e. negation, negative quantifiers, ...
- (11) a. It is **impossible** that John understood something. $\sqrt{IMPOSSIBLE}$ >SOME
 - b. John did**n't** understand something.
 - c. **No one** understood something.
 - d. At most five people understood something.

✓ AT_MOST_5>SOME

*NEG>SOME *NEG>SOME

- Universally accepted idea: *some* is only anti-licensed by AA expressions.
- No complementary distribution:
- (12) a. It is **impossible** that J. understood anything.
 - b. It is **impossible** that J. understood something. \checkmark IMP.>SOME
 - A unified account of *some* and *any* is impossible. (Szabolcsi 2004)

Anti-additivity

- Strong NPIs, e.g. punctual *until* and *a single* require 'more negative' functions.
- (13) A function *f* is Anti-additive (AA) iff $f(A \lor B) \iff f(A) \land f(B)$ [Zwarts 1998]
 - Negation and negative quantifiers (*no one, nothing, never, etc.*) are not just DE, they are AA;
 - *At most five* is strictly DE.

Introduction

- (14) a. No one left until Friday.b. ??At most 5 people left until Friday.
- (15) a. No one understood a single thing.b. ??At most 5 people understood a single thing.

Goals

I am going to show that a unified account is possible:

- 1. PIs are licensed by constituents ('domains'), which need not be maximally large;
- 2. Not all domains are *eligible* for checking (e.g. for certain PIs, only constituents that contain the Pol head are eligible);
- 3. Licensing is computed cyclically;
- 4. PPIs of the *some*-type are in complementary distribution **in a given constituent** with NPIs of the *any*-type (**unity** of the two phenomena).
- 5. Polarity clashes lead to intervention.
- Analogy with binding and phase theory;
- Implications for the architecture of grammar.

Liberality

Complementar

Conclusion

1. Licensing by constituents and Granularity

Assumption: each clause contains a Pol head.



=Checking of licensing is done on constituents, but not all constituents are eligible for this procedure.

NPI

- *[$_{\text{TP}}$ [$_{\text{PolP}}$ **DE DE** ... π^-
- (17) a. *It is**[n't impossible** that John understood a single thing.
 - b. It is **impossible** that John understood a single thing.

PPI

- *[$_{\text{TP}}$ [$_{\text{PolP}}$ **DE**... π^+
- (18) a. John did**[n't** understand something.

*NEG>SOME

b. John understood something.

2. Entanglement and cyclicity

• Entanglement:

► Source of 'new' intervention.

• Cyclicity:

 √[_{TP} DE...[_{CP} π⁻...[_{PolP} π⁺

 (20) It's impossible that anyone understood something.

✓IMPOS.>SOME

Conclusion

3. Licensing is liberal

• One appropriate constituent is sufficient.

NPI

- $\checkmark [_{\mathrm{TP}} \mathbf{DE} \dots [_{\mathrm{CP}} \mathbf{DE} \dots \pi^{-}]$
- (21) It's **impossible** that John did**n't** understand a single thing.

PPI

- $\checkmark [_{\mathrm{TP}} \mathrm{DE} \dots [_{\mathrm{PolP}} \mathrm{DE} \dots \pi^+$
- (22) It's **impossible** that John did**n't** understand something.

Liberality

Comple

ty Cone

4. Complementarity

• In any given constituent:

(23)
$$\begin{bmatrix} x_P \dots \\ x_{QR} \\ some \end{bmatrix}$$

- (24) a. John did**n't** understand anything.
 - b. John did**n't** understand something.

*NEG>SOME

- Apparent non-complementarity:
- (25) It's **impossible** that John understood anything.
- (26) It's **impossible** that John understood something. \checkmark IMP.>SOME

=Checking of licensing is done on constituents, but not all constituents are eligible for this procedure.

NPI

- The strong NPI *a single* is sensitive to the relative position of DE expressions above it.
- (27) a. It's **impossible** that John understood a single thing.
 - b. *It's **not impossible** that John understood a single thing.
 - c. **Not** that it's **impossible** that John understood a single thing.

- Intuition: in (28b), licensing has to take into account both *not* and *impossible;*
- 'Flip-flop' is directly observable with certain NPIs (cf. also Schmerling 1971).
- (28) a. It's **[impossible** that John understood a single thing.
 - b. *It's [not impossible that John understood a single thing.
 - c. **Not** that it's **[impossible** that John understood a single thing.

- The distance between two DE expressions matters.
- In each clause C, the PolP (or NegP) of C is the smallest constituent eligible for the checking of the licensing of *a single*.
- Licensing domain of *π*: a constituent upon which the licensing of *π* is checked.
- **Minimal licensing domain of** *π*: the smallest constituent containing *π* upon which the licensing of *π* can be checked.
- Minimal domains are PI specific.

(28a) It's **impossible** that John understood a single thing.

(28b) *It's not impossible that John understood a single thing.

(28c) Not that it's impossible that John understood a single thing.

$$\begin{bmatrix} 1 \\ TP \end{bmatrix} \text{ not } \begin{bmatrix} 1 \\ PolP \end{bmatrix} \text{ impossible } \begin{bmatrix} 1 \\ PolP \end{bmatrix} \text{ a single}_1$$

Two English dialects w.r.t. *any*-type NPIs. Dialect A:

(29) a. *It's not impossible that John understood anything.
b. *I don't doubt that John understood anything.

• Dialect B:

- (30) a. It's **not impossible** that John understood anything.
 - b. I don't doubt that John understood anything.
 - Two options:
 - The licensing of *any* in dialect B is not environment-based;
 - It is environment based but the minimal domain of this item is smaller than PolP.
 - Minimal domain of French weak NPIs: PolP.



1. Licensing by constituents and Granularity PPI (of the *some*-type)

- (31) a. John didn't understand something.
 - b. No one understood something.

*NEG>SOME *NEG>SOME

- c. It's **impossible** that John understood something. $\sqrt{IMPOS.}$ SOME
- d. At most five people understood something.
 - ✓ AT_MOST_5>SOME
- In (31a) and (31b), it is not possible to check the licensing upon a constituent that doesn't contain the clausemate negation (the licensing domain must be at least as large as PolP).
- In (31c) as well as in (31d), the smallest PolP is UE w.r.t. the position of *some*.



(31a) John didn't understand something.



(31c) It's impossible that John understood something.

$$\sqrt{\left[\frac{1}{PolP} \right]}$$
 impossible $\left[\frac{1}{PolP} \right]$ something



Negative quantifiers

- Evidence for analyzing them as made up of negation and an existential quantifier in its scope (Geurts 1996, Zeijlstra & Penka 2005, Iatridou & Sichel 2008, a.o.):
 - Reconstruction impossible (33a).
- (33) a. No doctor can be present.
 - b. John cannot be present.
 - c. At most five people can be present.
- *CAN>NO_DOCTOR *CAN>NOT CAN>AT_MOST_5

- Split scope possible (34).
- (34) No doctor has to be present.There is no doctor x such that x has to be present. (wide scope)It is not required that a doctor be present. (split scope)

Liberality

1. Licensing by constituents and Granularity

(31b) No one understood something.

*NEG>SOME



(31d) At most five people understood something.

$$\sqrt{[TP]}$$
 at most five $[PolP]$ something

PPI

- Composition of DE functions ('rescuing') in (35b):
- (35) a. Few people didn't understand something. \sqrt{NEG} -SOME
 - b. It's **impossible** that John did**n't** understand something. √NEG>SOME
 - c. It's **not impossible** that John did**n't** understand something. *NEG>SOME
 - d. Not that it's impossible that John didn't understand something. \sqrt{NEG} SOME
 - In fact, this is just 'flip-flop' applied to PPIs.



(35a) Few people didn't understand something.

$$\sqrt{\left[\frac{TP}{1}\right]}$$
 few people $\left[\frac{1}{PolP}\right]$ not something₁

(35b) It's **impossible** that John didn't understand something.

$$\sqrt{[TP][PolP]}$$
 impossible $[CP][PolP]$ not something₁



(35c) It's not impossible that John didn't understand something.

*
$$[_{\text{TP}} [_{\text{PolP} \checkmark 1} \text{ not impossible } [_{\text{CP}} [_{\text{PolP} \checkmark 1} \text{ not something}_1]$$

(35d) Not that it's impossible that John didn't understand something.

$$\sqrt{\left[\frac{1}{PolP} \right]^{not} \left[\frac{1}{PolP} \right]^{not} \left[\frac{1}{PolP} \right]^{not}}$$
 imposs. $\left[\frac{1}{PolP} \right]^{not someth.1}$



Intervention

- Disruption of NPI licensing (**'intervention'**) caused by the presence of certain expressions, e.g. *every*, *always*, *and*...;
- The very same expressions 'shield' PPIs.
- (36) a. ***Not** everyone understood anything.
 - b. **Not** everyone understood something.

 $\checkmark \text{NEG}{>}\text{SOME}$

- ► Monotonicity disruption.
- N.B.: Split scope:
- (37) Not everyone can be on the Board. NOT>CAN>EVERY



- According to Chierchia (2004), the interveners form a natural class: they are all strong scalar terms. Ex.: <every, most, some>, <and, or>.
- Scalar implicatures triggered by a DE function like *not* outscoping a strong scalar term disrupt NPI licensing.
- (38) a. It is **not** the case that **everybody** has roses.
 - b. Scalar implicature: Somebody has roses.



- Grammar provides **two** meanings: plain and strong.
- The notion of meaning which is relevant for NPI licensing is the notion of strong meaning: the strong meaning of sentence φ noted [[φ]]^s is the conjunction of the plain meaning (truth conditions) of φ and its implicatures.

• Indirect implicatures triggered by a DE expression like *not* outscoping a strong scalar term disrupt NPI licensing.

Example

- (39) *It is **not** the case that **everybody** has any roses.
- $(40) \qquad [\![blue roses]\!] \subseteq [\![roses]\!]$
- (41) a. It is not the case that everybody has **roses**. *Scalar Implicature:* Somebody has roses.
 - b. It is not the case that everybody has **blue roses.** *Scalar Implicature:* Somebody has blue roses.
- (42) $[[(41a)]]^{s} = \neg [\forall x \operatorname{some}_{D}'(\operatorname{roses}')(\lambda y. x \operatorname{has} y)] \\ \wedge \exists x \operatorname{some}_{D}'(\operatorname{roses}')(\lambda y. x \operatorname{has} y)$
- (43) $[(41b)]^{s} = \neg [\forall x \text{ some}_{D}'(\text{blue roses}')(\lambda y. x \text{ has } y)] \\ \land \exists x \text{ some}_{D}'(\text{blue roses}')(\lambda y. x \text{ has } y)$

 $[\![(41a)]\!]^s \not\Rightarrow [\![(41b)]\!]^s$

2. Entanglement and Cyclicity: a PPI and an NPI

NPI & PPI

- We witness a **polarity clash**, which shows that the licensing of a PI in a given constituent A depends on the licensing of all other PIs in A.
- (44) It's impossible that someone understood anything. *IMP.>SOME

*
$$[_{\text{TP}} [_{\text{PolP} \mathbf{1} \mathbf{2}}]$$
 imposs. $[_{\text{CP} \mathbf{7} \mathbf{1} \mathbf{7} \mathbf{2}}]$ someone₂ $[_{\text{PolP}}$ anything₁

- (45) a. It's **impossible** that someone understood you.
 - b. It's **impossible** that someone understood something.
 - c. It's **impossible** that anyone understood anything.

2. Entanglement and Cyclicity: a PPI and an NPI NPI & PPI

(46) It's **impossible** that anyone understood something.

$$\sqrt{[TP][PolP] \times 1 \times 2]}$$
 imposs. $[CP]$ anyone₂ $[PolP] \times 1$ something₁

- ► The PPI is licensed at a previous stage of a cycle.
- Direct evidence that the licensing of *any* is checked on constituents.
- Reminder:
- (47) I do**n't doubt** that John understood anything.

Dialect B

- Two options:
 - The licensing of *any* is dialect B is not environment-based;
 - It is environment based but the minimal domain of this item is smaller than PolP.

2. Entanglement and Cyclicity: a PPI and an NPI

NPI & PPI

• Abstractly:

*DE
$$\ldots$$
 [$_{CP}$ $\pi^+ \ldots \pi^- \ldots$

$$\checkmark \mathbf{DE} \dots [_{\mathrm{CP}} \pi^{-} \dots [_{\mathrm{PolP}} \dots \pi^{+} \dots$$

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Licensing Condition

(48) Licensing Condition of PIs: A PI π is licensed in a sentence *S* only if π is contained in at least one eligible constituent A of *S* which has the right monotonicity w.r.t. the position of π , and all other PIs in A are licensed within A.

2 PPIs

• Abstractly:

*[_{CP} **DE** ... [_{CP}
$$\pi_k^+$$
 ... [_{PolP} **DE** ... π_l^+ ...

- (49) —A: No one is hiding.
 —#B: That's exactly true, someone isn't hiding.
- (50) —A: Someone is hiding.
 —B: That's exactly true, it's impossible that someone isn't hiding.
- $\checkmark [_{\text{TP}} [_{\text{PolP}}]$ impossible $[_{\text{CP}} [_{\text{PolP}}]$ not someone₁

(51) —A: Everyone is hiding.
—B: That's exactly true, it's impossible that someone isn't hiding.

 \checkmark [_{TP} [_{PolP} 1] impossible [_{CP} 1] someone₁ [_{PolP} not

(52) —A: Someone is hiding.
 —B: That's exactly true, it's impossible that someone isn't hiding somewhere.

$$\sqrt{[TP][PolP] 1 2}$$
 imposs. $[CP[PolP] 1 2$ not someone₂ somewh.₁

(53) —A: Everyone is hiding.
—B: #That's exactly true, it's impossible that someone isn't hiding somewhere.

—B': That's exactly true, it's **impossible** that anyone is**n't** hiding somewhere.



- Let's add one level of embedding: the missing reading reappears.
- (54) a. —A: Everyone is trying to hide.
 - b. —B: That's exactly true, it's **impossible** that someone is**n't** trying to hide somewhere.



- (55) a. You can't convince me that someone hasn't already solved this problem. [Ladusaw 1979, McCawley 1998] *NEG>SOME>NEG; NEG>NEG>SOME
 - b. You ca**n't** convince me that someone has**n't** solved this problem. NEG>SOME>NEG; NEG>NEG>SOME

*
$$[_{\text{TP}} [_{\text{PolP} \times 1} \times 2]$$
 n't $[_{\text{CP} \times 1} \times 2]$ so₂ $[_{\text{PolP} \times 1}$ not already₁

- (56) a. You can't convince me that someone isn't still holed up in this cave. [Baker 1970, McCawley 1998] *NEG>SOME>NEG; NEG>NEG>SOME
 - b. You ca**n't** convince me that someone is**n't** holed up in this cave. NEG>SOME>NEG; NEG>NEG>SOME

2. Entanglement and Cyclicity

- Evidence for cyclicity from NPIs:
- (57) It's **impossible** that anyone did**n't** understand anything.
- $\sqrt{\left[\frac{1}{PolP} \times 1 \times 2 \right]} \text{ imposs. } \left[\frac{1}{PolP} \times 1 \times 2 \right] \text{ anyo}_2 \left[\frac{1}{PolP} \times 1 \right] \text{ not anyth.}$

3. Licensing is liberal

NPI

(58) It's **impossible** that John did**n't** understand a single thing.

PPI

(59) It's **impossible** that John did**n't** understand something.

 $[_{\text{TP}} [_{\text{PolP} \checkmark 1} \text{ impossible } [_{\text{CP}} [_{\text{PolP} \checkmark 1} \text{ not something}_1]$

• This freedom is restricted by the limited eligibility of domains (granularity).

4. Complementarity

- *Some* is anti-licensed by downward-entailingness:
- (60) I'm **not** sure that someone understood anything.
- (61) At most five people sold someone anything. *NOT>SOME
 - But not by non-monotonicity (while *any* is):
- (62) a. No salesclerk sold exactly 42 people *anything/something.b. It is not the case that everybody has *any/some roses.
 - The monotonicity properties that license *some* are the complement of the monotonicity properties that license *any* and vice versa.



Liberality

Complementarity

Conclusion

4. Complementarity

• In a given licensing constituent, *some* and *any* are in complementary distribution.

(63)
$$\begin{bmatrix} x_P \dots \\ xOR \\ some \end{bmatrix}$$

- (64) a. John did**n't** understand anything.
 - b. John did**n't** understand something.

*NOT>SOME

- (65) a. It's **impossible** that someone understood something.
 - b. It's **impossible** that anyone understood anything.
 - c. It's **impossible** that someone understood anything. *IMPOS.>SOME

Some doesn't Interrupt a Syntactic Relation

(66) **At most five people** sold someone anything.



(67) **At most five people** sold anyone something.

*
$$\begin{bmatrix} TP \\ x1 \\ x2 \end{bmatrix}$$
 at most 5 p. T $\begin{bmatrix} exp \\ PolP \\ x1 \\ x2 \end{bmatrix}$ any e_2 someth. e_1 sell t_2 t_1

- (68) a. **At most five people** told anyone that someone had come. AT_MOST_5>SOME
 - b. At most five people told someone that anyone had come. *AT_MOST_5>SOME

Some doesn't Interrupt a Syntactic Relation

- The problem is general with PPIs:
- (69) a. He would rather be in Montpelier.
 - b. *He wouldn't rather be in Montpelier.
- (70) There isn't anyone here who wouldn't rather do something downtown.

 $\begin{bmatrix} TP & \text{PolP x1 x2 x3} \\ PolP & \text{x1 x2 x3} \end{bmatrix}$ not anyone₃ $\begin{bmatrix} TP & \text{PolP x1 x2} \\ PolP & \text{x1 x2} \end{bmatrix}$ not [would rather]₂ something₁ do t₁

(71) *There isn't anyone here who wouldn't rather do anything downtown.

*[$_{\text{TP}}$ [$_{\text{PolP}}$ **x1 x2 x3 not anyo.**₃ [$_{\text{CP}}$ [$_{\text{PolP}}$ **x1 x2 not [would rather]**₂ **anything**₁ do t₁

Some doesn't Interrupt a Syntactic Relation

- Difference between the two kinds of intervention:
- (72) a. If someone stole a camera, we're in trouble.
 - b. If John stole anything, we're in trouble.
 - c. If someone stole anything, we're in trouble. ?IF>SOME
- (73) *If everyone stole anything, we're in trouble.
 - The difference is expected: *if*-clauses are not in fact DE (they are only DE given background assumptions).
 - Narrow scope of the PPI is not perfect though. I propose that this is due to the tension placed on the system (constituent both DE and pseudo-DE).



- What (anti)-licenses PIs? Licensing is done by constituents, which must have the right monotonicity w.r.t. PIs;
- What evidence? Flip-flop (with NPIs and PPIs) and entanglement (one NPI and one PPI; 2 PPIs). Existence of minimal domains (PI specific);
- Why do we observe NPIs available under an even number of DE expressions? Licensing is computed cyclically and it is liberal. New perspective on architecture;
- Link between NPIs and PPIs? Unified theory: deep unity between the negative and the positive polarity phenomena (mirror image of each other).

Introduction

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Common argument against licensing by constituents

- The licensing of PPIs of the *some*-type cannot be constituent-based, according to almost all researchers, because the logical composition of an increasing function and an anti-additive function is decreasing;
- It is assumed that *some* is not vulnerable to downward-entailingness, and should as such be salvaged by the composition of the two functions.
- (74) More than three people do**n't** understand something. *NEG>SOME
 - But *some* **is** vulnerable to DEness.

Liberality

- Evaluation can take place in two different domains of 'mobile PPIs' (PPIs that raise to avoid being in a DE (AA?) environment, e.g. *must, should, ought, supposed...*)
- (75) (Speaking about a five-year-old boy, whose parents are very demanding.)
 -This poor kid does so many chores: he must_{deon} empty the dishwasher, feed the dog, clean his bedroom, make his bed...
 -Yes, you're right, and I'm not sure that he must_{deon}n't rake the leaves too.



Liberality

(76) I know that John's condition imposes drastic precautions, but even then I'm not sure that he must_{deon}n't rake the leaves. NEG > MUST_{deon} > NEG

$$\begin{bmatrix} PolP2 \\ \blacksquare \end{bmatrix} \text{ not sure } \begin{bmatrix} CP \\ PolP1 \end{bmatrix} \text{ must}_1 \begin{bmatrix} PolP1 \\ PolP1 \end{bmatrix} \text{ not } t_1$$

Identity

- In French, NPIs are productively derived from PPIs:
- (77) a. Jean a compris **quelque chose**.
 - b. Jean n'a **pas** compris **quelque chose que ce soit**.
 - Hypothesis: (certain) NPIs are **protected** PPIs in disguise (PPIs can be shielded, rescued, but also salvaged by modification, i.e. subtrigging).

French

• Granularity:

- (78) a. Il est **impossible** que Jean ait compris quoi que ce soit.
 - b. *Il n'est **pas impossible** que Jean ait compris quoi que ce soit.
 - c. **Non** pas qu'il soit **impossible** que Jean ait compris quoi que ce soit.
 - Entanglement and cyclicity:
- (79) a. Je ne pense **pas** que quelqu'un ait volé quoi que ce soit. *PAS>QUELQUE
 - b. Je ne pense **pas** que qui que ce soit ait volé quelque chose.
 - c. Je ne pense **pas** que quelqu'un n'ait **pas** répondu quelque chose.(=∃x∃y[répondre'(x,y)]; ≠∀x∃y[répondre'(x,y)])

Not sure

- (80) I'm not sure that Mary read a book \Rightarrow I'm not sure that Mary read a novel. (DE)
- (81) a. I'm not sure that Mary drinks or smokes \Rightarrow I'm not sure that Mary drinks and I'm not sure that Mary smokes.
 - b. I'm not sure that Mary drinks and I'm not sure that Mary smokes *⇒* I'm not sure that Mary drinks or smokes.

(not AA)

